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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/698,249

10/30/2000

Dengwei Fu

1904.0140003

STERNE, KESSLER, GOLDSTEIN & FOX PLILC Attorneys at Law

Attorneys at Law 1100 New York Avenue, N.W Suite 600 Washington, DC 20005-3934



FORMALITIES LETTER

\*OC000000005642543\*

Date Mailed: 12/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$492.
  - \$252 for 14 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1332.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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Under the Paperwork Reduction Act of 1995, no persons are required to

# FEE TRANSMITTAL for FY 2001 Patent fees are subject to annual revision. The 22 2001

TOTAL AMOUNT OF PAYMENT

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respond to a conscion of information driftes it displays a valid OWB control number						
Complete if Known						
Application Number	09/698,249					
Filing Date	October 30, 2000					
First Named Inventor	Dengwei Fu					
Examiner Name	To be Assigned					
Group Art Unit	2186					
Attorney Docket No.	1997.0010003/RES/JTH (formerly 1904.0140003/RES/JTH)					

METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:			3. ADDI Large			Entity				
Deposit Account Number	19-0036		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee paid			
Deposit Account Name	Sterne, Kessler, Goldstein	& Fox P.L.L.C.	105	13	30 205	65	Surcharge - late filing f	ee or oath	65.00	
		127	5	50 227	25	Surcharge - late provisiona	t			
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17			139	13	30 139	130	Non-English specifi			
Applicant claims small entity status		147	2,52	20 147	2,520	For filing a request for ex parte reexamination		ation		
See 37 CFR 1.27			112	920	112	920*	Requesting publication of action	er .		
2. ⊠Payment Enclosed:			113	1,840	* 113	1,840*	Requesting publication of SIR after Examiner action			
☐ Check ☐ Credit card ☐ Money Order ☐ Other*  *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.			115	11	0 215	55	Extension for reply within first month			
	FEE CALCULATION			39	0 216	195	Extension for reply w			
I. BASIC FILING	1. BASIC FILING FEE			89	0 217	445	Extension for reply w	tension for reply within third month		
Large Entity Sma			118	1,39	0 218	695	Extension for reply w	ithin fourth month		
Fee Fee Fee Code (\$) Cod		Fee Paid	128	1,89	0 228	945	Extension for reply w	ithin fifth month		
101 710 201		355.00	119	31	0 219	155	Notice of Appeal			
106 320 206	160 Design filing fee		120	31	0 220	155	Filing a brief in support of an appeal			
107 490 207	245 Plant filing fee		121	27	0 221	135	Request for oral hearing			
108 710 208	355 Reissue filing fee	·	138	1,51	0 138	1,510	Petition to institute a public use proceeding			
114 150 214	75 Provisional filing	fee	140	11	0 240	55	Petition to revive - un	avoidable		
·			141	1,24	0 241	620	Petition to revive - un			
SUBTOTAL (1) (\$) 355.00			142	1,24	0 242	620	Utility issue fee (or reissue)  Design issue fee			
			143	44	0 243	220				
			144	60	0 244	300	Plant issue fee			
			122	13	0 122	130	Petitions to the Comr	nissioner	-	
2. EXTRA CLAIM	FEES Fee fro Extra below		123	13	0 123	130	Petitions related to pr	ovisional applicatio	ins	
Total Claims 36	- 20** = 16 X 9.00	= 144.00	126	18	0 126	180	Submission of Inform	ation Disclosure St	mt	
Indep. Claims 6	3** = <u>3</u> X <u>40.00</u>		581	4	0 481	40	Recording each patent assignment per property (times number of properties)			
Multiple Dependent	t <u></u>	_ =	146	71	0 246	355	Filing a submission after final rejection (37 CFR 1.129(a))			
Large Entity Smal	Fee Fee Description	on	149	71	0 249	355	For each additional invention to be examined (37 CFR 1.129(b))			
Code (\$) Code 103 18 203	9 Claims in excess of	20	179	71	0 279	355	Request for Continued Examination (RCE)			
102 80 202 104 270 204	<ul><li>40 Independent claims</li><li>135 Multiple dependent</li></ul>		169	90	0 169	900	Request for expedited examination of a design application			
108 80 209	40 **Reissue independ	ent claims over original	Other fee	(specify)	:					
110 18 210	patent 9 **Reissue claims in original patent	**Reissue claims in excess of 20 and over								
su	*Reduced	by Basic	c Filing Fee	Paid			L			
** or number previously paid, if greater, For Reissues, see above SUBTOTAL (3) (\$) 65.00									<u> </u>	
SUBMITTED BY						Complete (if applicable)				
Name (Print/Type	Name ( <i>Print/Type</i> ) Jeffrey T. Helvey				Registratio (Attorney/Agen	No.	44,757 Telephone 202-371-2600			
Signature Jeff Holvery Date 02/22/01										
WARNING: Information on this form may become public. Credit card information should not										

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FU et al.

Appl. No. 09/698,249

Filed: October 30, 2000

Apparatus and Method for

Rectangular-to-Polar Conversion

Art Unit: 2186

Examiner: To be Assigned

Atty. Docket: 1997.0010003/RES/JTH (formerly 1904.0140003/RES/JTH)

## Request to Correct Attorney Docket Number

Commissioner for Patents Washington, D.C. 20231

Sir:

For:

Applicants hereby request that U.S. Patent and Trademark Office records be updated to reflect the change to the Attorney Docket Number in the above-referenced application from "1904.0140003" to "1997.0010003."

A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey T. Helvey

Attorney for Applicants

Registration No. 44,757

Date: 02/22/01

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934

(202) 371-2600

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

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\*\*REGISTERED PATENT AGENTS

February 22, 2001



WRITER'S DIRECT NUMBER: (202) 371-2675
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**Box Missing Parts** 

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/698,249; Filed: October 30, 2000

For: Apparatus and Method for Rectangular-to-Polar Conversion

Inventors:

Fu et al.

Our Ref:

1997.0010003/RES/JTH

(formerly1904.0140003/RES/JTH)

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated December 28, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Fee Transmittal Form PTO/SB/17 (in duplicate);
- 2. Copy of the Notice to File Missing Parts;
- 3. Original Declaration, executed by the inventors;
- 4. An original executed Power of Attorney from Assignee;
- 5. Assignee 37 C.F.R. § 3.73(b) Statement with copy of Assignment attached;

Commissioner for Patents February 22, 2001 Page 2



- 6. Request to Correct Attorney Docket Number
- 7. Return postcard; and
- 8. Our Check No. 30420 for \$ 684.00 to cover:
  \$ 355.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);
  \$ 264.00 Fee for excess claims (37 C.F.R. § 1.16); and
  \$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey T. Helvey Attorney for Applicants Registration No. 44,757

JTH/slw Enclosures

P:\USERS\SWILLIAM\JTH Folder (New)\1997.0010003\reply to ntfmp.ptoltr

